

**BAKER BOTTS LLP**Please type a plus sign (+) inside this box → ☒Op/635  
Rtw**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/756,096
Filing Date	Jan. 8, 2001
First Named Inventor	Mitchell
Group Art Unit	1635
Examiner Name	Epps-Ford, Janet L.
Attorney Docket Number	A31304 - BAB 069906.0132

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Exhibit 1,</b> clean copy of substitute specification, marked-up copy of substitute specification, return receipt postcard
Remarks <input type="checkbox"/>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	<i>Rochelle K. Seide</i> Att Name: Rochelle K. Seide PTO Reg: 32,300
Date	Oct 28, 2004

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: Oct 28, 2004

Typed or printed name	Rochelle K. Seide		
Signature	<i>Rochelle K. Seide</i>	Date	Oct 28, 2004

**BAKER BOTTS** LLP

Attorney Docket Number: A31304 - BAB 069906.0132

Title: METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME MEDIATED RNA  
TRANS-SPLICING



Use Space Below for Additional Information:

BAKER BOTTS LLP



# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 0)

## Complete if Known

Application Number 09/756,096

Filing Date Jan. 8, 2001

First Named Inventor Mitchell

Examiner Name Epps-Ford, Janet L.

Art Unit 1635

Attorney Docket No. A31304 - BAB 069906.0132

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

 Deposit  
Account  
Number  
Deposit  
Account  
Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee required under 37CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 0)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20 = 0	X 0	0
Multiple Dependent	3 = 0	X 0	0

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

Name (Print/Type)

Rochelle K. Seide

Registration No.  
(Attorney/Agent)

32,300

(Complete if applicable)

Telephone 212-408-2500

Signature

Rochelle K. Seide

Date

Oct 28, 2004



FILE NO.A31304-B-A-B 069906.0132  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mitchell et al  
Serial No. : 09/756,096 Examiner : Epps, Janet L.  
Filed : January 8, 2001 Group Art Unit: 1635  
For : METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME  
MEDIATED RNA *TRANS*-SPLICING

RESPONSE

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

October 28, 2004

Date of Deposit

Roghelle K. Seide

Attorney Name

Roghelle K. Seide  
Signature

32,300

PTO Registration No

October 28, 2004

Date of Signature

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Communication dated June 30, 2004, Applicants respectfully request the Examiner to consider the following remarks.

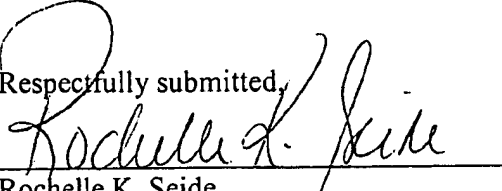
The Office Communication requested that the Applicant review the contents of the specification and drawings and assign a sequence identifier for every disclosed unbranched nucleotide sequence of 10 nucleotides or greater. The Examiner alleges that the Applicant

provided only an amendment to the specification directing entry of the SEQ ID NO's which correspond to Figure 15. Furthermore, the Examiner alleges that the detailed description of Figures 3-4, 7, 12, 22, 23, 31, 32, 35, 36, 37 and 42-43 does not recite the corresponding sequence identifiers associated with nucleotide sequences disclosed in the figures. Therefore, the Examiner alleges that the reply filed on April 13, 2004 was not fully responsive. Applicants respectfully disagree.

Applicants submit that the insertion of sequence identifiers into the specification can be found in the substitute specification, submitted in a clean and marked-up form on December 21, 2001. Copies of the substitute specification, in a clean and marked-up form, are enclosed herewith. Also attached, as Exhibit 1, is a copy of the return receipt postcard, which is stamped December 27, 2001 and lists the submitted specification (in clean and marked forms). Therefore, Applicants believed that the amendments to the specification, directed to recitation of sequence identifiers, had been entered and made of record. In further support of the Applicant's belief, the response filed on April 9, 2004 utilizes the paragraph numbering scheme introduced in the substitute specification filed December 21, 2001.

A call was made to Examiner Epps-Ford on October 27, 2004 regarding the submission of the substitute specification. She informed Dana Lau that there was no record of the substitute specification and suggested that we submit the copies of the substitute specification as a response to the Office Communication.

Applicants also respectfully request a waiver of the extension of time, since the prior response filed April 9, 2004 was fully responsive. In the event that the waiver is not granted, Applicants request a three month extension of time and authorizes the Commissioner to charge the fee as set forth in 37 C.F.R. § 1.17(a)(3) to Deposit Account 02-4377. Applicants do not believe that any additional fee is required in connection with the present submission. However, should any fee be required, or if any overpayment has been made, the Commissioner is hereby authorized to charge any fees, or credit or any overpayments made, to Deposit Account 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
  
Rochelle K. Seide  
PTO Registration No. 32,300  
*Attorney for Applicants*

Dana Lau  
PTO Registration No. 55,361  
*Agent for Applicants*

BAKER BOTTS, L.L.P.  
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(212)-408-2500



In Re: Mitchell  
(Applicant)  
In Re: PETITION TO REVIVE  
UNINTENTIONALLY  
ABANDONED  
APPLICATION\*  
(Title of Paper)

12/21/2001 (Date)

09/756,096  
(Serial No.)  
31304-B-A-B  
072874.0135 (File No.)

The stamp of the Patent Office Mail Room hereon acknowledges the receipt of the above-identified papers on the date indicated by such stamp.

- \*Check for \$2,200 (comprised of \$920 3-Month Extension of Time Fee and \$1,280 Petition to Revive - Unintentional Fee)
- Petition for Three Month Extension of Time
- Copy of Notice to File Missing Parts
- Petition to Revive Unintentionally Abandoned Application
- Substitute Drawings
- Submission of Sequence Listing (including Sequence Listing in paper and computer readable form, specification (in clean and marked forms))
- Return Receipt Postcard

